

Agenda

Please note: there will be an informal meeting of the Committee immediately prior to this meeting at 2pm in Meeting Room 3

Meeting: Standards Committee

**Venue: Meeting Room 3, County Hall,
Northallerton DL7 8AD
(see attached location plan)**

Date: Thursday 3 January 2019 at 3pm

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public, subject to:- (i) the recording being conducted under the direction of the Chairman of the meeting; and (ii) compliance with the Council's protocol on audio/visual recording and photography at meetings, a copy of which is available to download below. Anyone wishing to record must contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. Any recording must be clearly visible to anyone at the meeting and be non-disruptive.
<http://democracy.northyorks.gov.uk/>

Business

1. **Minutes of the Meeting held on 21 September 2018.** **(Pages 5 to 8)**
2. **Declaration of Interests.**
3. **Public Questions or Statements.**

Members of the public may ask questions or make statements at this meeting if they have given notice to Steve Loach (contact details below) by midday Thursday 20 December 2018. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are

not otherwise on the Agenda (subject to an overall time limit of 30 minutes);

- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

4. **Dispensation Issues** – Report of the Monitoring Officer.

(Pages 9 to 12)

5. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

December 2018

NOTES:

Emergency Procedures for Meetings

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

STANDARDS COMMITTEE

1. Membership

County Councillors (5)						
	<i>Councillors Names</i>				<i>Political Party</i>	
1	BLACKIE, John				NY Independent	
2	PARASKOS, Andy				Conservative	
3	PATMORE, Caroline (Chairman)				Conservative	
4	SOWRAY, Peter				Conservative	
5	TROTTER, Cliff (Vice-Chairman)				Conservative	
Total Membership – (5)				Quorum – (3)		
Con	Lib Dem	NY Ind	Labour	Ind	Total	
4	0	1	0	0	5	

2. Substitute Members

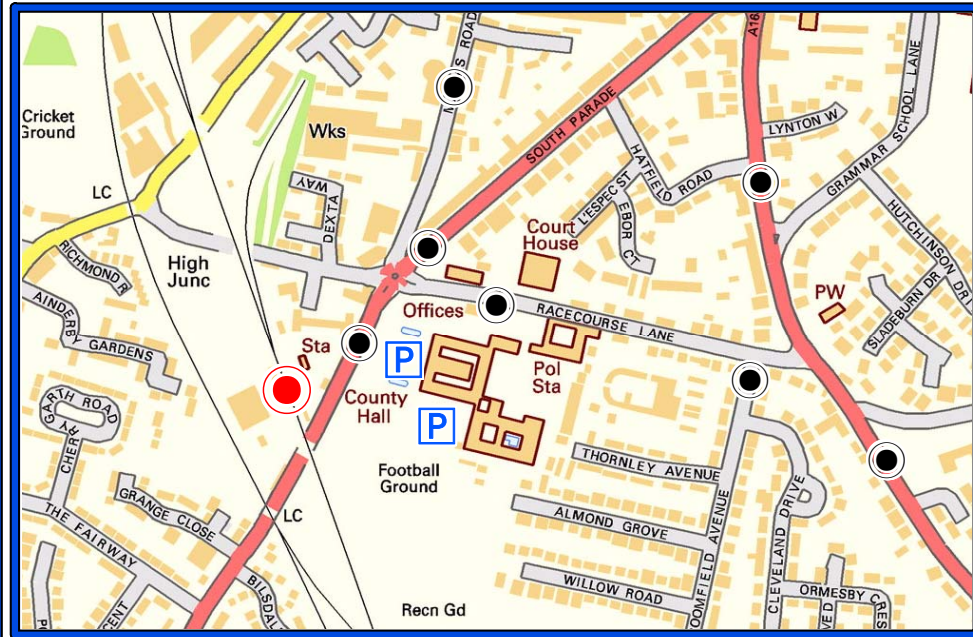
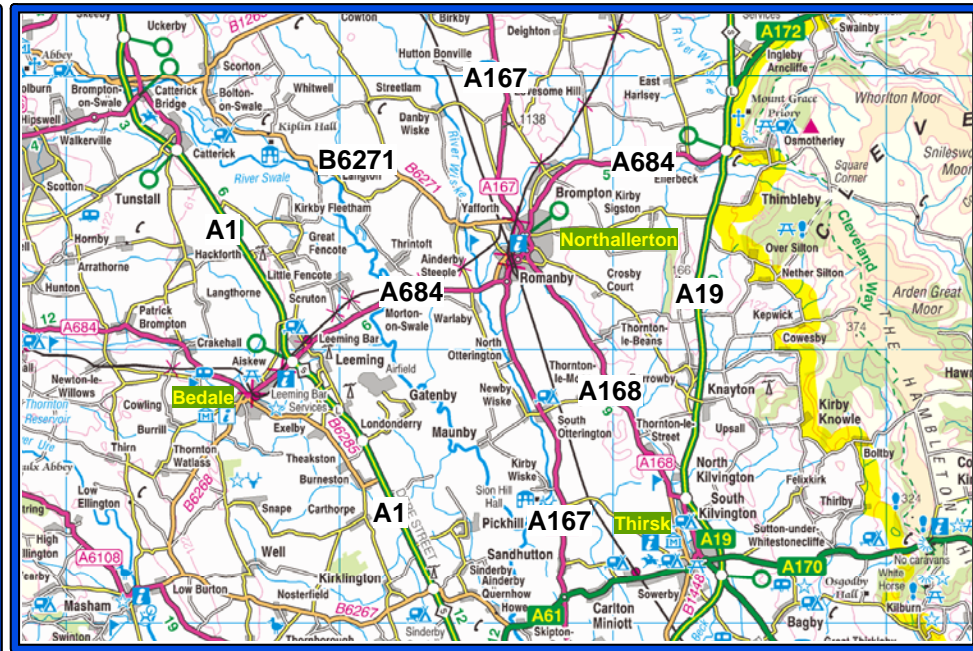
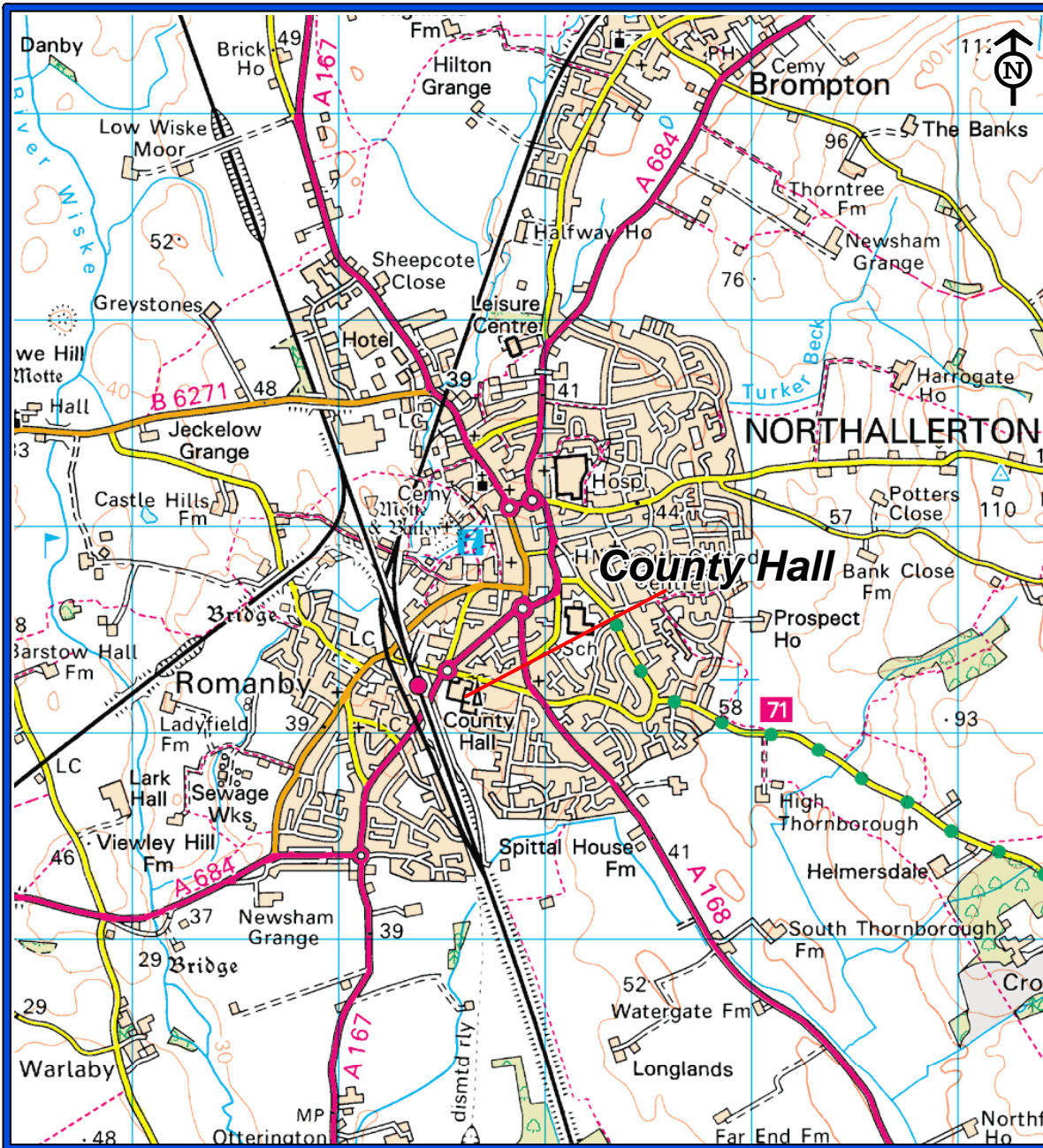
Conservative		Liberal Democrat	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BAKER, Robert	1	
2	ENNIS, John	2	
3	WINDASS, Robert	3	
4	JEFFELS, David	4	
5	SWIERS, Helen	5	
NY Independent		Labour	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1		1	
2		2	
3		3	
4		4	
5		5	

Note:

- (i) The Standards Committee is now subject to the rules on political balance.
- (ii) The Independent Persons for Standards are Hilary Gilberston MBE and Louise Holroyd.

TERMS OF REFERENCE

As set out in Article 9.03 of the Constitution



Visitor Parking
at County Hall



Northallerton National
Rail Station



Bus Stops

County Hall

Northallerton
North Yorkshire
DL7 8AD

Tel : 0845 8 72 73 74



North
Yorkshire County Council

North Yorkshire County Council

Standards Committee

Minutes of the meeting held on Friday 21 September 2018 at 10am at County Hall, Northallerton.

Present:-

County Councillors Caroline Patmore (Chairman), John Blackie, Andy Paraskos, Peter Sowray and Cliff Trotter; together with Independent Persons for Standards Hilary Gilbertson MBE and Louise Holroyd.

Copies of all documents considered are in the Minute Book

1. Minutes

In considering the Minutes of the last meeting of the Standards Committee held on 18 April 2018, the Chair drew attention to Minute 16 and the consultation on Councillor Disqualification Reform, and reiterated her view that there should be a light touch DBS check for all Councillors as they all come into contact with children, the elderly and the vulnerable.

Moira Bighton, NYCC Senior Lawyer confirmed that the monitoring officer was currently investigating whether Members were automatically subject to a DBS check. She also confirmed that the Committee's consultation response to the new Ministerial Code of Conduct, had been echoed by other Local Authorities with the same two key issues being identified nationally.

Finally, Members welcomed the Leader of the Council who was in attendance in line with the Committee's request at the previous meeting.

The Leader provided a statement endorsing the views expressed by the Chief Executive at the last meeting. He confirmed his full support for the work of the Standards Committee and agreed it was important to record and monitor the attendance of Members. He also drew attention to the amount of time County Councillors spend working in their communities.

In regard to complaints, the Leader confirmed that the number received was minimal taking account of the millions of transaction undertaken by the County Council annually, and that each complaint provided an opportunity to learn and make improvements. He also stated that in his view, the County Council was well run in regards to conducting its business and that County Councillors were respectful of each other and of officers.

Resolved –

That the Minutes of the meeting held on 18 April 2018, having been printed and circulated, be taken as read and be confirmed and signed by the Chairman as a correct record.

2. Declarations of Interest

There were no declarations of interest from Members at this stage of the meeting.

3. Public Questions or Statements

There were no questions or statements from members of the public.

4. Local Ethical Framework Developments

Considered –

The report of the Monitoring Officer, updating Members on the development of the ethical framework under the Localism Act 2011.

The report presented by Moira Beighton, NYCC Senior Lawyer updated Members on the following:

- The Review of Local Government Standards
- Committee of Standards in Public Life (CSPL) Report on ‘The Continuing Importance of Ethical standards for Public Service Providers’
- CSPL Annual Report 2017-18

Moira Beighton confirmed Committee would be kept informed of future developments and the Chair thanked her for the update.

Resolved –

That the Committee noted the update report.

5. Annual Report of the Standards Committee

Considered -

The report of the Monitoring Officer presenting Members with a draft annual report of the work of the Standards Committee for the period 1 April 2017 to 31 March 2018.

Members considered the draft copy of the annual report for Members’ consideration attached as an Appendix to the report.

Resolved -

That the annual report be approved and submitted for consideration to a forthcoming meeting of the full County Council.

6. Members’ Attendance Monitoring

Considered -

The report of the Monitoring Officer reporting the record of attendance of Members of the County Council at meetings of the County Council and its Committees for the period 1 April 2017 to 31 March 2018.

It was considered that overall, Members had a good percentage attendance of meetings. However, Members agreed that attendance figures alone did not truly

reflect the commitment of County Councillors to their role. County Councillor John Blackie suggested that the record should also try to reflect the efforts required by some to attend meetings, and proposed the inclusion of a column containing the distance travelled for each Member from home to County Hall in order to add clarity on the time commitment required. He also suggested that some context should be added to the report that reflected the important role of community leader undertaken by all County Councillors and the time dedicated to that role, in order to balance the attendance at meetings against the other time consuming duties undertaken by County Councillors.

County Councillor Carl Les queried why attendance at Fire Authority meetings was not included. County Councillor Andy Paraskos raised the issue of attendance at Parish Council meetings, highlighting that some County Councillors had a high number of parish councils in their electoral division requiring County Councillor attendance, and Cllr Helen Swiers noted that the attendance at a number of other meetings, training sessions and seminars associated with committee membership was also not recorded in the statistics.

Cllr Blackie questioned whether a summary of the other duties could be added to the appropriate webpage that the public use to view the attendance figures, in order to provide some context and balance.

The chair suggested that the issues needed to be addressed and independent member Louise Holroyd proposed that feedback on the proposals made at the meeting be brought to a future meeting.

Resolved -

- (i) That the report be noted;
- (ii) That copies of the statistics be circulated to the Leaders of the Political Groups of the County Council.
- (iii) That feedback on the proposals made be provided at a future Standards meeting

7. Complaints Update

Considered -

The report of the Monitoring Officer updating the Committee regarding ethical framework complaint activity since the Committee's last meeting on 18 April 2018.

The report presented by Moira Beighton, NYCC Senior Lawyer highlighted five new formal complaints received since the last meeting of the Committee. It was confirmed that the Monitoring Officer having completed his consideration of two of those complaints in consultation with the Independent Persons for Standards, had deemed that both cases required no further action.

Moira Beighton confirmed that consideration was ongoing on the three remaining complaints.

Specifically in regard to Complaint No. NYCC/SC/62 the Chair confirmed that the complaint had been sent by post to all members of the Standards Committee. Moira Beighton summarised the actions of the Monitoring Officer to date in response to that particular complaint and confirmed that it was not the role of the Standards Committee to consider the conduct of officers or the decisions they had taken, but

rather to focus on the conduct of Members. In that regard she confirmed the Monitoring Officer had already considered the member conduct in the case and decided that no further action was required, and that all other issues raised in the complaint were still under investigation.

County Councillor John Blackie proposed that once the full outcome was known, the complainant should be provided with a written response from the Committee outlining the process that had been undertaken and the decisions reached, in order to ensure the complainant fully understands, which the Chair and other Members endorsed.

Resolved -

That the current position on all complaints received since the last meeting of the Committee be noted.

8. Standards Bulletin

Considered -

The report of the Monitoring Officer presenting a draft copy of the forthcoming Standards Bulletin.

Members noted the content of the Bulletin and proposed the inclusion of additional information relating to:

The limits associated with the acceptance of gifts and hospitality;
The declaration of pecuniary interests and the consideration of the public perception

Maira Beighton, NYCC Senior Lawyer confirmed that Members need to give due consideration to both common law and bias when considering the public perception and agreed this could be highlighted in the Bulletin

Resolved -

- (i) That the bulletin be approved for circulation, subject to the addition of the extra information identified by Committee Members

The meeting concluded at 10:50am

MLC

NORTH YORKSHIRE COUNTY COUNCIL

STANDARDS COMMITTEE

3 January 2019

Dispensation Issues**1.0 PURPOSE OF REPORT**

- 1.1 To present to the Committee, for determination, requests from certain County Councillors for a dispensation from the Standards Committee.

2.0 BACKGROUND

- 2.1 The Monitoring Officer was designated by the County Council as Proper Officer to receive written requests by Members/voting Co-opted Members for a dispensation under section 33 Localism Act 2011 relieving them from either or both of the restrictions on participating in the discussion and vote on a matter where they have a disclosable pecuniary interest (DPI).
- 2.2 The power to grant dispensations to Members and voting Co-opted Members under the new ethical framework was delegated by the Council to this Committee, after consultation with the Independent Persons.
- 2.3 Power was also delegated to the Monitoring Officer to grant dispensations (after consultation with the Independent Persons) where the timescales are such that a Standards Committee meeting cannot be convened and where the Monitoring Officer has consulted every available Member of the Standards Committee, all of whom consent to the granting of the dispensation.

3.0 DISPENSATION PROCESS

- 3.1 Under the Localism Act 2011 and delegated power from the Council, the Standards Committee has power to grant a dispensation to a Member from non-participation in relation to a disclosable pecuniary interest where the Committee concludes, after having had regard to all relevant circumstances, that:
- (a) without the dispensation the number of persons prohibited from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business;
 - (b) without the dispensation the representation of different political groups on the body transacting any particular business would be so upset as to alter the likely outcome of any vote relating to the business;
 - (c) granting the dispensation is in the interests of persons living in the authority's area;
 - (d) without the dispensation each Member of the Authority's Executive would be prohibited from participating in any particular business to be transacted by the Executive; or
 - (e) it is otherwise appropriate to grant a dispensation.

- 3.2 The Committee must decide whether the application fulfils any of the criteria set out in paragraph 3.1 and, if so, whether it is appropriate to grant the dispensation in the circumstances, after balancing the public interest in preventing a Member with a disclosable pecuniary interest from taking part in Council business, against the public interest in the relevant constituents not being disenfranchised and their views being able to be put forward on the business in question.
- 3.3 If the Standards Committee is minded to grant a dispensation, it must consider the duration of it. Dispensations may be granted for a maximum period of 4 years.
- 3.4 Any dispensation granted will be recorded in writing and kept with the Councillors' interests forms in the Council's Register of Members' Interests.

4.0 DISPENSATION REQUEST BY COUNTY COUNCILLOR HASLAM

- 4.1 As Members have previously been informed, on 6 December 2017 a dispensation was granted by the Monitoring Officer, under delegated powers, to County Councillor Paul Haslam, to enable him to speak at meetings of the County Area Committee for the Harrogate District when the Committee is considering business relating to the Harrogate Relief Road Review until the date of the next local elections in 2021. The dispensation does not permit County Councillor Haslam to vote on such items of business. The matter could not await the next scheduled meeting of the Standards Committee as there was an item on the agenda of the Area Committee's meeting the following day which updated the Committee on the project and sought the Committee's views on the approach to the consultation.
- 4.2 Councillor Haslam has a disclosable pecuniary interest in items relating to the review as his home address is registered as a disclosable pecuniary interest (DPI) on his interests form and he would therefore have a DPI in the agenda item being considered at the meeting as certain of the options regarding a relief road bypass would potentially impact the land he resides and associated land. Without a dispensation Councillor Haslam would therefore need to declare his interest at the start of the item and then leave the meeting room, although he could ask another Member to represent his constituents' views or submit written representations to the meeting in respect of that item.
- 4.3 After consultation with the available members of the Standards Committee and Independent Persons, the Monitoring Officer granted the dispensation to speak as the dispensation is in the interests of persons living in the authority's area, the public interest may favour local member participation and it is appropriate to grant the dispensation.
- 4.4 Councillor Haslam has requested that the Standards Committee review the dispensation and consider whether a dispensation could be granted to allow him to speak **and vote** at all council meetings including those of the Harrogate and Knaresborough Area Constituency Committee (former the County Area Committee for the Harrogate District) when the body is considering business relating to the Harrogate Relief Road Review which is also referred to as "Harrogate Congestion Study". A written dispensation application from Councillor Haslam will follow.
- 4.5 The Committee is requested to consider and determine the dispensation application from County Councillor Haslam.

5.0 DISPENSATION REQUEST BY COUNTY COUNCILLOR WEIGHELL

- 5.1 County Councillor John Weighell is the Chair of the Council's Pension Fund Committee. Part of the work of that Committee is to oversee the work required in

pooling a number of pension schemes together, which has seen the creation of eight Local Government Pension Pools across the Country. As part of that work, a Company (BCPP Ltd) has been created to oversee the investment of the pension assets for 12 Pension Scheme Funds.

- 5.2 As part of the governance structure of BCPP Ltd there are two 'Partner Fund Pension Nominated Non-Executive Directors' who sit on the Board. These two Directors receive a financial remuneration from the Company of £12,500 a year.
- 5.3 Councillor Weighell has recently been appointed (through a robust selection process) as one of these Non-Executive Directors and therefore has a Disclosable Pecuniary Interest in Council business relating to the Company. However Councillor Weighell has been appointed on the basis of his knowledge of Pension Funds and to ensure that the interests of all Pension Fund Committees are represented on the Company's governance structure.
- 5.4 Due to the timing of the next scheduled meeting of the Pension Fund Committee, the Monitoring Officer, under delegated powers, considered Councillor Weighell's application for a dispensation to chair the Pension Fund Committee meeting on 22 November 2018. After consultation with the available members of the Standards Committee and Independent Persons, the Monitoring Officer granted a dispensation to Councillor Weighell to participate in and chair the Pension Fund Committee meeting on 22 November. The decision to grant the dispensation was made on the basis that whilst the Company may be discussed, any decisions would not affect Councillor Weighell's remuneration on the Board and indeed, his knowledge of the area is a reason why he had been appointed as a Non-Executive Director of the Company. The Monitoring Officer would then invite Councillor Weighell to make a dispensation application to the Standards Committee should he wish to seek a dispensation for a longer period.
- 5.5 Councillor Weighell has been invited to submit a written dispensation application, a copy of which will be circulated to the Committee Members once available.
- 5.6 The Committee is requested to consider and determine the dispensation application from County Councillor Weighell.

6.0 DISPENSATION REQUEST BY COUNTY COUNCILLOR BROADBENT

- 6.1 County Councillor Eric Broadbent has requested a dispensation from the Standards Committee to enable him to speak and vote at Council meetings on budgetary issues (where not school specific).
- 6.2 Councillor Broadbent's wife is employed by the Council as an advanced teaching assistant at a Pupil Referral Unit. This is registered by Councillor Broadbent in his interests form in the Register of Members' Interests and is therefore a disclosable pecuniary interest for him in any relevant Council business relating to schools' issues.
- 6.3 Councillor Broadbent has been invited to submit a written dispensation application, a copy of which will be circulated to the Committee Members once available.
- 6.4 The Committee is requested to consider and determine the dispensation application from County Councillor Broadbent.

7.0 OTHER ISSUES

- 7.1 Another County Councillor is currently considering whether to submit an application to the Standards Committee for a dispensation. Should the Councillor submit an

application before the Committee's meeting, it will be circulated to Members for consideration at the meeting.

- 7.2 The Committee is requested to consider and determine any further dispensation applications submitted before the meeting.

8.0 CONCLUSION

- 8.1 That the Committee determines submitted applications by County Councillors for a dispensation.

9.0 RECOMMENDATIONS

- 9.1 That the Committee considers and determines the applications for a dispensation by County Councillor Haslam, County Councillor Weighell and County Councillor Broadbent and any other dispensation applications submitted before the Committee's meeting.

- 9.2 That, should the Committee be minded to grant the dispensations requested, the Committee determine the grounds and duration of the dispensations.

BARRY KHAN
Monitoring Officer

Background Papers:

- The Localism Act 2011

County Hall
NORTHALLERTON

17 December 2018